JM Financial Limited

Investor Complaints Data - IPO and FPO including OFS

Data for the month of March 2024

Sr. No.	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	_	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	-	3	2	1	-	2
2	SEBI (SCORES)	3	11	12	2	-	11
3	Stock Exchanges (if relevant)	-	-	-	-	-	-
4	Other Sources (if any)	-	1	1	-	-	17
5	Grand Total	3	15	15	3	-	10

^{*} Inclusive of complaints of previous months resolved in the current month.

Trend of monthly disposal of complaints (For 5 months on rolling basis)

Sr. No.	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	November 2023	1	47	20	28
2	December 2023	28	57	62	23
3	January 2024	23	32	53	2
4	February 2024	2	9	8	3
5	March 2024	3	15	15	3
	Grand Total	57	160	158	59

^{*} Inclusive of complaints of previous months resolved in the current month. # Inclusive of complaints pending as on the last day of the month.

[#] Inclusive of complaints pending as on the last day of the month.

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

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Investor Complaints Data - IPO and FPO including OFS

Trend of annual (Calendar Year) disposal of complaints (For 5 years on rolling basis) *

Sr. No.	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2022	16	119	124	11
2	2023	11	175	163	23
3	2024	23			
4	2025				
5	2026				
	Grand Total	50	294	287	34

^{*} Data shall be updated after the completion of respective calendar year.