

**JM Financial Limited**

**Investor Complaints Data - Private Placement of Municipal Debt Securities**

**Data for the month of April 2024**

| Sr. No. | Received from                 | Carried forward from previous month | Received during the month | Resolved during the month* | Pending at the end of month # | Pending complaints > 3 month | Average Resolution time^ (in days) |
|---------|-------------------------------|-------------------------------------|---------------------------|----------------------------|-------------------------------|------------------------------|------------------------------------|
| 1       | Directly from Investors       | -                                   | -                         | -                          | -                             | -                            | -                                  |
| 2       | SEBI (SCORES)                 | -                                   | -                         | -                          | -                             | -                            | -                                  |
| 3       | Stock Exchanges (if relevant) | -                                   | -                         | -                          | -                             | -                            | -                                  |
| 4       | Other Sources (if any)        | -                                   | -                         | -                          | -                             | -                            | -                                  |
| 5       | <b>Grand Total</b>            | -                                   | -                         | -                          | -                             | -                            | -                                  |

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

**Trend of monthly disposal of complaints for the financial year**

| Sr. No. | Month              | Carried forward from previous month | Received during the month | Resolved during the month * | Pending at the end of month # |
|---------|--------------------|-------------------------------------|---------------------------|-----------------------------|-------------------------------|
| 1       | April 2024         | -                                   | -                         | -                           | -                             |
| 2       | May 2024           |                                     |                           |                             |                               |
| 3       | June 2024          |                                     |                           |                             |                               |
| 4       | July 2024          |                                     |                           |                             |                               |
| 5       | August 2024        |                                     |                           |                             |                               |
| 6       | September 2024     |                                     |                           |                             |                               |
| 7       | October 2024       |                                     |                           |                             |                               |
| 8       | November 2024      |                                     |                           |                             |                               |
| 9       | December 2024      |                                     |                           |                             |                               |
| 10      | January 2025       |                                     |                           |                             |                               |
| 11      | February 2025      |                                     |                           |                             |                               |
| 12      | March 2025         |                                     |                           |                             |                               |
|         | <b>Grand Total</b> | -                                   | -                         | -                           | -                             |

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

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Last 3 Years' Trend \*

| Sr. No. | Year               | Carried forward from previous year | Received during the year | Resolved during the year | Pending at the end of the year |
|---------|--------------------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| 1       | 2022-23            | -                                  | -                        | -                        | -                              |
| 2       | 2023-24            | -                                  | -                        | -                        | -                              |
| 3       | 2024-25            | -                                  |                          |                          |                                |
|         | <b>Grand Total</b> | -                                  | -                        | -                        | -                              |

\* Data shall be updated after the completion of respective financial year.