

## JM Financial Institutional Securities Limited

### Investor Complaints Data - Research

#### Data for the month ended October 31, 2023

S. N.	Received from	Pending at the end of last month	Received	Resolved*	Total Pending#	Pending complaints > 3 months	Average Resolution time^ (in days)
1.	Directly from Investors	0	0	0	0	0	NA
2.	SEBI (SCORES)	0	0	0	0	0	NA
3.	Other Sources (if any)	0	0	0	0	0	NA
	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>NA</b>

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

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#### Trend of monthly disposal of complaints

S. N.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1.	April 2023	0	0	0	0
2.	May 2023	0	0	0	0
3.	June 2023	0	0	0	0
4.	July 2023	0	0	0	0
5.	August 2023	0	0	0	0
6.	September 2023	0	0	0	0
7.	October 2023	0	0	0	0
	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

#### Trend of annual disposal of complaints

S. N.	Year	Carried forward from previous year	Received	Resolved*	Pending#
1.	2018-19	0	0	0	0
2.	2019-20	0	0	0	0
3.	2020-21	0	0	0	0
4.	2021-22	0	0	0	0
5.	2022-23	0	0	0	0
	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\* Inclusive of complaints of previous years resolved in the current year.

# Inclusive of complaints pending as on the last day of the year.