

JM Financial Limited

Investor Complaints Data - Private Placement of Debt Securities and Non-Convertible Redeemable Preference Shares

Data for the month of October 2023

Sr. No.	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	-	-	-	-	-	-
2	SEBI (SCORES)	-	-	-	-	-	-
3	Stock Exchanges (if relevant)	-	-	-	-	-	-
4	Other Sources (if any)	-	-	-	-	-	-
5	Grand Total	-	-	-	-	-	-

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints for the financial year

Sr. No.	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	April 2023	-	-	-	-
2	May 2023	-	-	-	-
3	June 2023	-	-	-	-
4	July 2023	-	-	-	-
5	August 2023	-	-	-	-
6	September 2023	-	-	-	-
7	October 2023	-	-	-	-
8	November 2023				
9	December 2023				
10	January 2024				
11	February 2024				
12	March 2024				
	Grand Total	-	-	-	-

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

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Trend of annual (Financial Year) disposal of complaints (For 3 years on rolling basis) *

Sr. No.	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021-22	-	-	-	-
2	2022-23	-	-	-	-
3	2023-24				
	Grand Total	-	-	-	-

* Data shall be updated after the completion of respective financial year.