

**JM Financial Limited**

**Investor Complaints Data - Private Placement of Municipal Debt Securities**

**Data for the month of October 2023**

Sr. No.	Received from	Carried forward from previous month	Received during the month	Resolved during the month*	Pending at the end of month #	Pending complaints > 3 month	Average Resolution time^ (in days)
1	Directly from Investors	-	-	-	-	-	-
2	SEBI (SCORES)	-	-	-	-	-	-
3	Stock Exchanges (if relevant)	-	-	-	-	-	-
4	Other Sources (if any)	-	-	-	-	-	-
5	<b>Grand Total</b>	-	-	-	-	-	-

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

**Trend of monthly disposal of complaints for the financial year**

Sr. No.	Month	Carried forward from previous month	Received during the month	Resolved during the month *	Pending at the end of month #
1	April 2023	-	-	-	-
2	May 2023	-	-	-	-
3	June 2023	-	-	-	-
4	July 2023	-	-	-	-
5	August 2023	-	-	-	-
6	September 2023	-	-	-	-
7	October 2023	-	-	-	-
8	November 2023				
9	December 2023				
10	January 2024				
11	February 2024				
12	March 2024				
	<b>Grand Total</b>	-	-	-	-

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

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Last 3 Years' Trend \*

Sr. No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2021-22	-	-	-	-
2	2022-23	-	-	-	-
3	2023-24				
	<b>Grand Total</b>	-	-	-	-

\* Data shall be updated after the completion of respective financial year.