

**JM Financial Limited**

**Investor Complaints Data - Collective Data**

**For IPOs & FPOs (including OFS), Rights Issues, QIPs, Preferential Issues, SME IPOs & FPO (including OFS), Buyback of Securities, Delisting of Equity Shares and Substantial Acquisition of Shares & Takeovers**

**Data for the month of January, 2026**

Sr. No.	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^ (in days)
1	Directly from Investors	1	6	5	2	-	6
2	SEBI (SCORES)	-	-	-	-	-	-
3	Stock Exchanges (if relevant)	-	-	-	-	-	-
4	Other Sources (if any)	9	32	39	2	-	8
5	<b>Grand Total</b>	<b>10</b>	<b>38</b>	<b>44</b>	<b>4</b>	-	8

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

**Trend of monthly disposal of complaints (For 5 months on rolling basis)**

Sr. No.	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	September 2025	3	15	15	3
2	October 2025	3	7	9	1
3	November 2025	1	16	15	2
4	December 2025	2	120	112	10
5	January 2026	10	38	44	4
	<b>Grand Total</b>	<b>19</b>	<b>196</b>	<b>195</b>	<b>20</b>

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

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**Trend of annual (Calendar Year) disposal of complaints (For 5 years on rolling basis) \***

<b>Sr. No.</b>	<b>Year</b>	<b>Carried forward from previous year</b>	<b>Received during the particular year</b>	<b>Resolved during the particular year</b>	<b>Pending at the end of the particular year</b>
1	2022	19	183	191	11
2	2023	11	194	181	24
3	2024	24	477	497	4
4	2025	4	259	253	10
5	2026	10			
	<b>Grand Total</b>	68	1,113	1,122	49

\* Data shall be updated after the completion of respective calendar year.