JM Financial Institutional Securities Limited

Investor Complaints Data – Stock Broking

Data for the month ended September 30, 2026

S. N.	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1.	Directly from Investors	0	0	0	0	0		NA
2.	SEBI (SCORES 2.0)	0	0	0	0	0		NA
3.	Stock Exchanges	0	0	0	0	0		NA
4.	Other Sources (if any)	0	0	0	0	0		NA
	Grand Total	**0**	**0**	**0**	**0**	**0**		**NA**

* Includes complaints of previous months resolved in the current month, if any.
** Includes total complaints pending as on the last day of the month, if any.
^ Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

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Trend of monthly disposal of complaints

S. N.	Month	Carried forward from previous month	Received	Resolved*	Pending**
1.	April 2026	0	0	0	0
2.	May 2026	0	1#	1#	0
3.	June 2026	0	0	0	0
4.	July 2026	0	0	0	0
5.	August 2026	0	0	0	0
6.	September 2026	0	0	0	0
	Grand Total	**0**	**1#**	**1#**	**0**

* Includes complaints of previous months resolved in the current month, if any.
** Includes total complaints pending as on the last day of the month, if any.
# The said complaint does not belong to investor of JM Financial Institutional Securities Limited.

Trend of annual disposal of complaints

S. N.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1.	2021-22	0	0	0	0
2.	2022-23	0	0	0	0
3.	2023-24	0	0	0	0
4.	2024-25	0	0	0	0
5.	2025-26	0	1#	1#	0
	Grand Total	**0**	**1#**	**1#**	**0**

The said complaint does not belong to investor of JM Financial Institutional Securities Limited.